Lung Injury in Preterm Neonates: The Role and Therapeutic Potential of Stem Cells

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Abstract

Continuous improvements in perinatal care have allowed the survival of ever more premature infants, making the task of protecting the extremely immature lung from injury increasingly challenging. Premature infants at risk of developing chronic lung disease or bronchopulmonary dysplasia (BPD) are now born at the late canalicular stage of lung development, just when the airways become juxtaposed to the lung vasculature and when gas-exchange becomes possible. Readily available strategies, including improved antenatal management (education, regionalization, steroids, and antibiotics), together with exogenous surfactant and exclusive/early noninvasive ventilatory support, will likely decrease the incidence/severity of BPD over the next few years. Nonetheless, because of the extreme immaturity of the developing lung, the extent to which disruption of lung growth after prematurity and neonatal management lead to an earlier or more aggravated decline in respiratory function in later life is a matter of concern. Consequently, much more needs to be learned about the mechanisms of lung development, injury, and repair. Recent insight into stem cell biology has sparked interest for stem cells to repair damaged organs. This review summarizes the exciting potential of stem cell-based therapies for lung diseases in general and BPD in particular. *Antioxid. Redox Signal.* 17, 1013–1040.

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I. Bronchopulmonary Dysplasia: Chronic Lung Disease for a Life Time?

PRETERM DELIVERY IS a major health-care problem affecting more than 12% of all births and accounting for more than 85% of all perinatal complications and death (www.iom.edu/Reports/2006/Preterm-Birth-Causes-Consequences-and-Prevention.aspx). Improvements in perinatal care have allowed the survival of extremely premature infants born at less than 28 weeks of gestation. However, these infants are at a high risk of developing bronchopulmonary dysplasia (BPD), a chronic lung disease that follows ventilator and oxygen (O₂) therapy for acute respiratory failure after premature birth (129). Arrested alveolar growth and lung vascular growth are histological hallmarks of BPD (60). These anomalies may persist beyond childhood, compromising lung structure and function (25, 258). Emerging reports of arrested alveolar growth in older children (81), early onset emphysema, and

pulmonary hypertension in young adults who had BPD and adverse neurodevelopment raise concerns about the long-term outcome of infants born extremely preterm. Therefore, understanding how alveoli and the underlying capillary network develop and how these mechanisms are disrupted in disease are critical for developing therapies for lung diseases characterized by impaired alveolarization.

Recent advances in stem cell biology offer the possibility for cell-based treatment strategies to repair damaged organs. This review (i) summarizes the pathophysiology of lung injury in preterm neonates that leads to the development of BPD and (ii) analyzes the role of stem cells in lung development, injury, and repair.

II. Pathophysiology of Lung Injury in Preterm Neonates

The development of the lung can arbitrarily be divided into two phases: (i) the initial creation of an air-conducting system

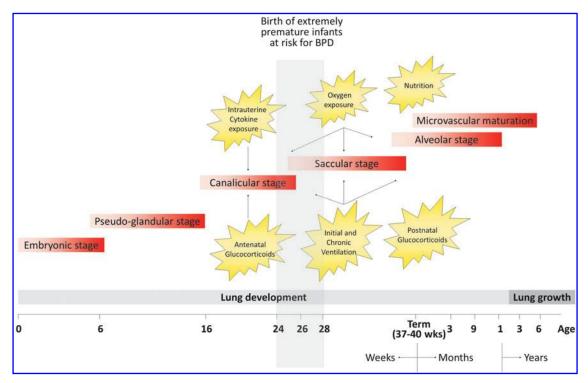


FIG. 1. Normal lung development and pathophysiological events contributing to BPD and decreased alveolarization in preterm infants. Schematic depicting the classical stages of histological lung development. It shows that preterm infants at risk of developing BPD are born at the late canalicular or early saccular phase of lung development. Pre-, peri-, and postnatal adverse events contribute to interrupt the normal sequence of lung development, leading to the arrest in lung growth observed in these infants. BPD, bronchopulmonary dysplasia. (To see this illustration in color the reader is referred to the web version of this article at www.liebertonline.com/ars).

followed by (ii) the formation of the gas-exchange surface area of the lung.

A. Stages of lung organogenesis

A generally accepted view of how the lung develops is summarized in Figure 1. In the embryonic stage, the lung appears as an outpouching of the primitive foregut on day 28. This bud bifurcates into the two main stem bronchi. During the pseudo-glandular stage, rudimentary bronchi divide by a structured branching program that was recently revisited by Metzger et al. These authors suggest that three geometrically distinct local modes of branching (domain branching, planar bifurcation, and orthogonal bifurcation), coupled in three different sequences (168), culminate in the first terminal sacs. These tubular structures are lined by columnar epithelium surrounded by mesenchymal tissue. The canalicular stage is characterized by the bifurcation of the last generations of distal bronchi. In this stage there is also capillary invasion and differentiation of the air space epithelium into alveolar type II (AT2) cells (responsible for surfactant production) and type I (AT1) cells (which primarily form the thin air–blood barriers). During the saccular stage the peripheral air spaces enlarge at the expense of the intervening mesenchyme, forming saccules. This is followed by the final alveolar stage when alveoli are generated via subdivision (septation) of the distal lung saccules (42). This process of alveologenesis begins at 36 weeks of gestation and extends into the first 2 years of postnatal life.

B. BPD: the impact of injury in an immature lung

BPD was described by Northway in 1967, shortly after mechanical ventilation for neonates became routine. At this time, BPD occurred in relatively larger preterm infants (around 34 weeks of gestation, 2200 g birth weight) (178) ventilated for neonatal respiratory distress. The pathology included mucosal metaplasia of airways, emphysema, and interstitial fibrosis (old BPD) (53, 178). Advances in neonatal intensive care have allowed the survival of ever more immature infants. Infants at greatest risk for BPD are now born <28 weeks of gestation (42) when their lungs are in the late canalicular or early saccular phase of development, when airspaces become juxtaposed to the capillaries and when gasexchange becomes feasible. The hallmark of the new BPD is characterized by alveolar growth arrest and perturbed lung vascular growth (60, 205), consistent findings in experimental BPD and in humans (6, 15, 59, 61, 140) (Fig. 2) (15, 221). Alveologenesis involves budding of septal crests, which is followed by elongation of the septal walls to form individual alveoli. Septation increases the gas-exchange surface area, without a proportionate increase of lung volume (i.e., alveoli have a larger surface/volume ratio than saccules). This process of septation is disrupted in BPD, resulting in alveolar simplification and enlargement (59). Alveolar angiogenesis is intricately interconnected with the process of alveologenesis (221, 232). Studies in human fetal lung suggest that airways act as a template for pulmonary artery development. Endothelial tubes line up around the terminal buds of the airways, suggesting an inductive influence on the part of the epithelium (95). The proposed link between alveolarization and angiogenesis is also reiterated by the secondary abnormalities that occur in one process when the other is primarily affected (221).

C. The multifactorial pathogenesis of BPD

BPD is a multifactorial disease with several pre- and postnatal factors contributing to its pathogenesis. Postnatal O₂

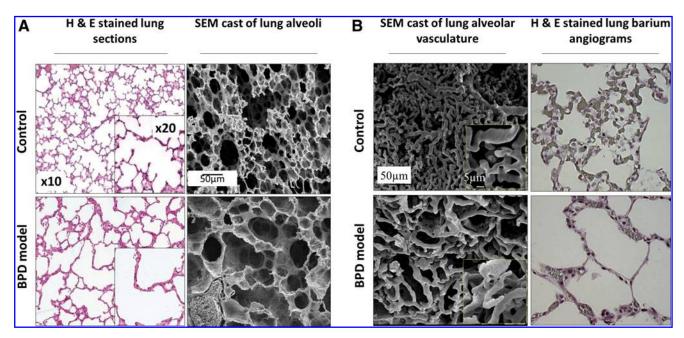


FIG. 2. Experimental O₂-induced BPD. Rat pups exposed to 95% O₂ from birth to P14 have decreased alveolar and vascular growth. In the O₂-injured lung, the alveolar spaces are enlarged with fewer secondary crests (**A**), and there is a marked decrease in the density of lung capillaries (**B**). These changes recapitulate the histological anomalies observed in human BPD. O₂, oxygen. (To see this illustration in color the reader is referred to the web version of this article at www.liebertonline.com/ars).

Table 1. A Representative	Not Exhaustive) List of Existing Experime	ENTAL MODELS				
of Bronchopulmonary Dysplasia						

	Model/mechanism	Species	Reference
1.	In utero ventilation	Sheep	Allison et al. (7)
2.	Prematurity, mechanical ventilation, O_2	Baboon	Altiok <i>et al.</i> (9) McCurnin <i>et al.</i> (162)
		Sheep Mouse	Pierce <i>et al.</i> (186a) Hilgendorff <i>et al.</i> (105)
3.	LPS (intra-amniotic) administration and postnatal hyperoxia	Rat	Kim <i>et al.</i> (127) Lee <i>et al.</i> (142)
4.	Bleomycin (intraperitoneal)	Rat	Tourneux et al. (241)
5.	Hyperoxia exposure		, ,
	80%-100% O ₂	Mouse	Ohki et al. (179)
		Rat	ter Horst et al. (231)
	60% O ₂	Rat	Masood et al. (159a)
6.	Transgenic BPD Models (Examples)		
	Perinatal expression of IL-1B	Mouse	Bry <i>et al.</i> (46)
	Triple transgenic construct overexpressing TGF-B1	Mouse	Vicencio et al. (249)
	Lung specific Fas-ligand overexpression	Mouse	De Paepe et al. (72)
	ErbB4 deletion	Mouse	Purevdorj et al. (192)
	ErbB4 deletion and antenatal intra-amniotic LPS administration	Mouse	Schmiedl et al. (211)

BPD-like changes were inflicted in the lungs of these animal models by a variety of methods representing the major injurious stimuli commonly associated with the development of human BPD.

BPD, bronchopulmonary dysplasia; O_2 , oxygen; TGF- β , transforming growth factor-beta.

exposure in the setting of decreased host antioxidant defenses, barotrauma/volutrauma on a very immature lung, chorioamnionitis, and pre-eclampsia contribute to BPD and have been extensively reviewed elsewhere (42, 91, 97, 119). In addition, the susceptibility to BPD is further modulated by the existence of gene polymorphisms (91).

Most of our current understanding of the pathogenesis of BPD has come from research in small and large animal models of experimental BPD (Table 1), and a few human studies, mostly limited to exploring biomarkers in the tracheal aspirate of ventilated newborns. Lung alveolar development and maturation is a complex process involving well-coordinated interactions between mesenchymal, epithelial, and microvascular lung components. On this basis, the pathophysiological processes underlying the development and progression of BPD can be broadly compartmentalized into three interdependent mechanisms (Fig. 3).

1. Defective elastogenesis and extracellular matrix remodeling. Collagen, fibronectin, and elastin are the primary components of extracellular matrix (ECM), which constitutes the complex architectural framework of the lung and provides a medium for the intricate cell–cell and cell–matrix interactions crucial for lung development and maintenance (42, 50).

Elastin deposition in the saccular walls of the developing alveoli plays a spatially instructive role in the budding of secondary septa and formation of mature alveoli. Lungs of mice devoid of the elastin gene fail to progress beyond the saccular stage and usually die soon after birth from cardiorespiratory failure resulting from fewer, dilated distal air sacs with attenuated septa (257) and an overgrowth of smooth muscle cells in the pulmonary arteries (146). Exposure of newborn mice to mechanical ventilation in conjunction with hyperoxia, mimicking intensive care interventions in the NICU, increases lung elastase activity, causing elastin degradation and redistribution of elastic fibers from septal tips to

alveolar walls (35). These BPD-like changes are significantly attenuated by blocking lung protease activity with intrapulmonary injections of recombinant human elafin (105).

Synthesis and deposition of septal elastin is controlled by peptide factors such as fibroblast growth factor (FGF)-2 and -18 (43, 54), platelet-derived growth factor-alpha (PDGFα) (41), and transforming growth factor-beta 1 (TGF- β 1) (89, 131) via orchestration of fibroblast proliferation, migration, and differentiation. Overexpression of TGF-β1 in the lungs of newborn rodents results in histologic alterations consistent with the pathologic descriptions of BPD (89, 249). Earlier observations by Kotecha et al. report elevated concentrations of active and total TGF-β1 in the bronchoalveolar lavage fluid of infants who subsequently develop BPD (131). Conversely, TGF-β1 inhibition attenuates O₂-induced arrested alveolar growth in neonatal mice (31). Failure of alveolar septation is observed in postnatally surviving PDGF-A^{-/-} mice (41), and this is associated with a lack of distal spreading of alveolar smooth muscle cell progenitors (150). In another study, elevated levels of basic FGF in tracheal aspirates of infants in their first day of life are shown to predict an outcome of BPD/ death and correlate positively with elevated lung cell injury and apoptosis (10). Disrupted ECM remodeling attributable to dysregulation in the levels and activity of gelatinases such as matrix metalloproteinase (MMP)-2 and -9 is another suggested mechanism of impaired lung development in BPD (48, 67, 79).

2. Altered alveolar epithelial—mesenchymal interactions. The epithelial lining of the alveolar compartment is constituted by AT1 and AT2 pneumocytes. AT1 cells are large flat cells covering about 98% of the alveolar surface, although comprising only 10% or less of all cells in the peripheral lung. The relatively smaller and cuboidal AT2 cells contribute to only $\sim\!2\%$ of the alveolar surface (224). Conventionally, AT1 cells were believed to serve as passive barriers lining the air—blood

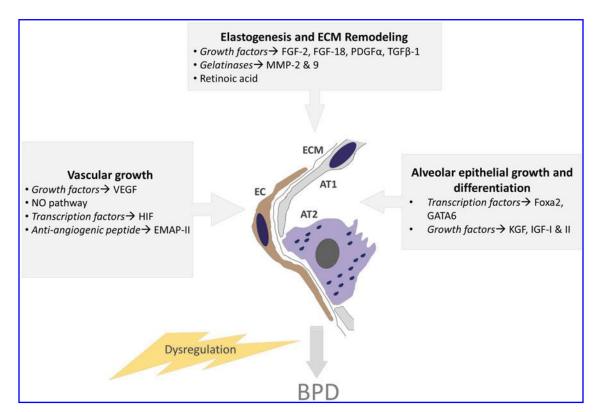


FIG. 3. Outline of the multifactorial pathogenesis of BPD. This simplified schematic outlines three major mechanistic compartments that underlie the pathogenesis of BPD. ECM, extracellular matrix; FGF, fibroblast growth factor; PDGFα, platelet-derived growth factor- α ; TGF- β 1, transforming growth factor-beta 1; MMP, matrix metalloproteinase; NO, nitric oxide; HIF-2 α , hypoxia inducible factor 2 α ; EMAP-II, endothelial monocyte activating polypeptide-II; KGF, keratinocyte growth factor; VEGF, vascular endothelial growth factor; IGF, insulin-like growth factor. See text for description and references. (To see this illustration in color the reader is referred to the web version of this article at www.liebertonline.com/ars).

gas exchange interface. AT2 cells, on the other hand, are known to synthesize, secrete, and recycle surfactant components, transport ions, participate in lung immune responses, and function as progenitor cells in response to lung injury (82, 159, 198). However, the fairly recent demonstration of the ability of AT1 cells to proliferate and exhibit phenotypic plasticity (92) suggests that these cells play a role in lung development and lung repair after injury (75). The recent development of methods to isolate AT1 cells will allow further exploration of the contribution of these cells to lung homeostasis.

The importance of signals originating from differentiated epithelial cells in alveolar septation is evidenced by the need for optimal expression of transcription factors Foxa2 (254), GATA6 (152), and the AT1 cell differentiation marker, $T1\alpha$ (194). Keratinocyte growth factor (KGF), one of the key regulators of alveolar maturation, is released by alveolar fibroblasts (243). Paucity in KGF levels has been directly correlated with susceptibility for BPD (42). Another instance suggesting the importance of epithelial-interstitial cell interactions in alveologenesis is the strong correlation between the levels of insulin-like growth factor-I (IGF-I) and IGF-II and alveolar development (51, 153). However, expression of IGF-I and its receptor is increased in the lungs of patients with BPD (56), inviting more studies to understand the role of IGFs in lung development. Stretch-mediated surfactant synthesis in the developing lung is also regulated by a parathyroid hormonerelated, peptide-dependent paracrine loop between AT2 cells and alveolar lipofibroblasts (213). Sustaining this paracrine pathway using peroxisome proliferator activated receptorgamma agonists has been effective in preventing O_2 , volutrauma, or infection-mediated injury in the developing lung (240). In addition, there is epithelial–mesenchymal crosstalk mediated by growth factors such as neuregulin (66) and epidermal growth factor (176), regulating surfactant synthesis and alveolar maturation. Dysregulated signaling by these growth factors during late gestational lung development is another likely mechanism contributing to BPD.

3. Impaired development of lung microvasculature. In addition to alveolar growth arrest, BPD is also characterized by a pronounced paucity of the distal lung microvasculature (31, 91). The notion that blood vessels passively follow the developing airways during lung organogenesis (95) has been challenged over the past 10 years testifying the interdependence between alveolarization and angiogenesis (1, 232). Secondary abnormalities almost invariably occur in one process when the other is primarily affected (113, 163). It appears plausible that a vascular component, at least in part, underlies the pathobiology of BPD (221).

When exposed to hyperoxia or prolonged mechanical ventilation, the developing lung exhibits varying degrees of microvascular disruption in conjunction with alveolar damage. It is very likely that alterations in angiogenic growth factors might underlie this process (64). Development of lung vasculature is controlled by a well-coordinated interaction of angiogenic growth factors and their receptors in concert with

the ECM. Expression of proangiogenic growth factors such as vascular endothelial growth factor (VEGF) and angiopoietin (Ang)-1 and -2 are observed very early during lung organogenesis (96, 99). VEGF signaling plays a crucial role in the development of lung microvasculature and in the postnatal growth and maintenance of alveolar structure (232). Genetic or pharmacologic VEGF inhibition during the critical period of lung development in newborn rodents results in fewer and larger alveoli and capillary rarefaction in distal lungs (88, 113, 163, 233). Conversely, strategies that enhance microvascular integrity via VEGF gene therapy or pharmacological treatment attenuate O₂-induced arrested alveolar growth (137, 233). Combined Ang-1 and VEGF gene therapy enhance the response by promoting vascular stabilization and maturation (234). It is believed that the regulation of Tie2 signaling through a balance in the expression of Ang-1 and Ang-2 is crucial for normal lung vascular development (99, 245). Studies also indicate that Ang-1 contributes to lung protection via attenuating inflammation (102, 161) and promoting pulmonary vascular homeostasis (135). In addition, transcription factors such as hypoxia inducible factor (HIF) 1/2, activation protein-1, and specificity protein-1 play a role in regulating angiogenic growth factor expression (120). Mice deficient in HIF- 2α exhibit defective lung morphogenesis accompanied by neonatal respiratory distress due to a selective defect in pneumocyte maturation and surfactant production (62).

Nitric oxide (NO) is a downstream effector of VEGF. Consistent with the findings above, NO also plays a crucial role during normal and impaired lung vascular growth (96). Constitutive expression of endothelial nitric oxide synthase (eNOS) and neuronal NOS at strategic locations in the developing lung suggests a contributory role of these enzymes in airway branching morphogenesis (264). Mice deficient in eNOS demonstrate severe impaired compensatory lung growth postpneumonectomy, indicating that eNOS is probably critical for alveolar regeneration and maintenance (144). Even mild exposure to hypoxia during the early postnatal lung development impairs alveolarization and reduces vessel density in these mice (22). The primary function of NOS enzymes is the time-dependent synthesis and release of NO, a critical downstream mediator of several potent angiogenic growth factors (96, 215). It is also believed that NO might act upstream of angiogenic growth factors via transcriptional control of their expression (78). Preterm baboons with chronic ventilation-induced lung injury demonstrate marked decline in pulmonary NOS expression indicative of an attenuated capacity for endogenous NO production in this experimental BPD model (3). Accordingly, evidence exists that exogenous supplementation of NO preserves alveolar structure and lung vascular remodeling to varying extents in experimental models of hyperoxia (149), bleomycin (241) and mechanical ventilation-induced BPD (34). Given that VEGF-induced angiogenesis is in part mediated by NO (182), alveolar arrest and pulmonary hypertension induced in neonatal rats by VEGF receptor blockade are effectively reversed by inhaled NO treatment (230). Potentiating the effect of endogenous NO via prolonging the half-life of its downstream mediator, cyclic guanosine monophosphate, also promotes lung angiogenesis and attenuates O₂-induced lung injury (138). Collectively, these findings formed the rationale for testing the potential benefit of early, low-dose, inhaled NO in premature infants at risk for BPD. These randomized trials showed partial (24, 128, 212) or no benefit (167). Further studies are required to determine the best indication of inhaled NO in this patient population (12).

Consistent with the above findings, antiangiogenic factors are likely candidates contributing to the pathophysiology of BPD (193). Endothelial monocyte activating polypeptide-II (EMAP-II) is one such negative regulator of vessel formation (28). Its expression is negatively correlated with vascularization in the developing lung (214). EMAP-II expression is elevated in the lung tissue of infants with BPD as well as in the premature baboon model (193), suggesting that this protein may contribute to the disruption of vascular development in BPD. Endostatin is another endogenous antiangiogenic protein known to inhibit endothelial proliferation and migration in tumor tissue, in addition to promoting apoptosis and predisposing to G1 arrest of endothelial cells. Endostatin also antagonizes VEGR receptor 2 activation and interferes with cell-matrix interactions that are necessary during angiogenesis (202). Interestingly, there is a positive correlation between high concentrations of endostatin in cord plasma of preterm neonates weighing less than 1500 g and the development of BPD (116). More interestingly, ventilated preterm infants who developed BPD or died had a decreased Ang-1/endostatin ratio on the 1st, 3rd, and 15th days of life, suggesting an imbalance favoring the inhibition of lung angiogenesis (115, 235).

In summary, it appears that arrested and dysmorphic lung vascular growth in BPD results at least partly from altered signaling of angiogenic factors. Exogenous VEGF, NO, or activation of HIF preserve alveolar development, suggesting new therapeutic avenues for preserving or enhancing alveolar growth. Given the potential risk of angiomas, abnormal vascular proliferation, formation of immature and leaky vessels (69), and the associated propensity for pulmonary hemorrhage or edema (141, 236), it will be crucial to determine the appropriate mode of delivery, dosing, and timing of angiogenesis stimulation to avoid this potential undesirable effects. At this juncture, cell therapy using proangiogenic precursor cells such as endothelial progenitor cells (EPCs) may offer an appealing alternative. The existing evidence for the role and therapeutic potential of EPCs in lung development and BPD has been elaborated in later parts of the review.

D. Oxidative stress: a distinct contributor to BPD evolution

In normal development, the fetal antioxidant capacity undergoes a marked elevation during the final phase of gestation. This elevation is a result of (i) upregulation of endogenous antioxidant enzymes and (ii) materno-fetal placental transfer of nonenzymatic antioxidants (70). These changes permit the safe transition from the relative hypoxia of intrauterine development to the $\rm O_2$ richer extrauterine environment. Preterm infants, however, are born before the onset of these adaptive changes and this leaves them extremely susceptible to oxidative injury. Besides BPD, oxidative stress contributes to other complications of prematurity, including retinopathy of prematurity, necrotizing enterocolitis, and periventricular leucomalacia in preterm newborns (16, 185).

The primary mediators of oxidative damage in the preterm newborn are reactive oxygen species (ROS) generated in large quantities after exposure to hyperoxia, postasphyxial reperfusion, inflammation, and tissue release of nonprotein bound iron (11, 209). Excessive ROS production in the setting of decreased bioavailability of antioxidants elevates oxidative stress as a major causative mechanism in the multifactorial pathogenesis of BPD. Indicators of oxidative injury such as pulmonary epithelial DNA oxidation (17, 158), lipid peroxidation (155), and protein oxidation (252) have all been demonstrated in experimental models of O₂-induced BPD. Also in human BPD, several studies strongly support a role for ROSmediated damage. Markers of protein oxidation such as protein carbonyls (23) and advanced oxidation protein products (185) are elevated in premature newborns at highest risk of developing BPD. Increased oxidation and decreased expression of clara cell secretory protein (a protective lung protein with antioxidant, immunomodulatory, and anticarcinogenic properties) has been reported in infants who subsequently developed BPD (195). Furthermore, oxidative stress impacts a complex orchestra of genes involved in inflammation, ECM turnover, and cell cycle regulation that contribute to alveolar enlargement, vascular paucity, and other pathological changes observed in the immature lungs developing BPD (253). Given that O_2 is the most common therapy used in the care of very preterm infants, clinicians target lower O2 saturations in this patient population and cohort studies suggested that this practice reduces the incidence of BPD (208, 238). Currently, however, the appropriate level of oxygenation for extremely preterm neonates to maximize the greatest chance of survival, without incurring significant morbidity, remains unknown despite recent randomized controlled trials (14, 100). Indeed, the most recent randomized controlled trials directly addressing this question show that targeting O₂ saturations of <90% in the first weeks of life increased risk of death, cerebral palsy, patent ductus arteriosus, pulmonary vascular resistance, and apnoea, while targeting O₂ saturations of >90% induced greater rates of morbidity including retinopathy of prematurity and BPD (84, 222). The first prospective meta-analysis from the Neonatal Oxygenation Prospective Meta-analysis Collaboration may provide more answers to the O_2 target dilemma (13).

Essential nutrients such as vitamins A, C, and E are known to inhibit ROS-induced lipid peroxidation and scavenge ROS (74, 220). Preterm infants have lower antioxidant vitamins in their serum compared with term controls (26). Vitamin A contributes to antioxidant defense via its action on retinolbinding protein and the retinoic acid receptor (74). In addition to its aforementioned role in promoting alveolar elastogenesis, antioxidant activity could be another major mechanism underlying the consistently observed protective effect of vitamin A supplementation in experimental O2-induced (63, 114, 248) and human BPD (242). Vitamin E inhibits lipid peroxidation; hence, it prevents membrane damage and modification of low-density lipoproteins. It is regenerated by the water-soluble vitamin C (220). However, studies investigating supplementation of antioxidant vitamins have demonstrated that although vitamin concentrations can be increased in the serum of preterm animal models or infants, this has not resulted in a significant reduction in ROS-induced injury (29, 44). Conversely, caution should be exercised in maintaining serum vitamin A levels within normal physiological range, since supplementation at elevated doses adversely impacts redox balance and free radical status in the lungs that are frequently associated to severe lung dysfunction (184). Likewise, high levels of water-soluble antioxidant vitamin C in babies, particularly in premature babies, may exacerbate oxidant damage by inhibiting ferroxidase activity of ceruloplasmin (190). Vitamin C can also act as a pro-oxidant and may have adverse effects on the lungs, especially in combination with excess free iron, promoting oxidative stress (209).

Trace elements such as copper, zinc, iron, selenium, and manganese play a major role in the synthesis and normal functioning of antioxidant enzymes. Therapeutic supplementation of these micronutrients can potentially optimize total antioxidant capacity (32). Copper, zinc, and manganese participate in the synthesis of major antioxidant enzymes copper-zinc superoxide dismutase and manganese superoxide dismutase. Selenium, on the other hand, has been shown to be an integral part of glutathione peroxidase and acts synergistically with vitamin E in the prevention of peroxide formation. Selenium deficiency causes rapid metabolism of vitamin E. Experimental animals were more prone to have oxidative lung injury with low selenium levels (207). However, a meta-analysis of oral supplementation of selenium in preterm infants failed to reduce O₂ dependency at 28 days and total days of O₂ dependency (68).

Enzymatic antioxidants are critical in protecting against ROS-induced injury. It is noticeable that preterm neonates have decreased expression of these antioxidant enzymes relative to term newborns. Supplementation with enzymatic antioxidants or with agents promoting their expression and/ or activity might confer protection against oxidative damage in preterm infants via scavenging excess ROS. Increased expression of antioxidant enzymes superoxide dismutase and glutathione peroxidase reversed pro-apoptotic and growth inhibitory effects of hyperoxia on lung epithelial cells (130). However, a clinical trial using intratracheal doses of recombinant human superoxide dismutase in premature neonates showed no difference in short-term endpoints, including incidence of BPD or mortality (71). Nonetheless, significant clinical improvement and decreased episodes of rehospitalization were registered at 1 year corrected age compared to controls. Melatonin, a pineal hormone, demonstrates potent antioxidant properties via promoting superoxide dismutase and glutathione peroxidase activity in addition to direct ROS scavenging (38). Daily intraperitoneal injections of melatonin reversed oxidant/antioxidant imbalance and improved hyperoxia-induced lung damage in neonatal rats (181). Another recent investigation describes the existence of a putative circulating antioxidant, paroxonase 3, that is systemically upregulated in late-gestation rat, sheep, and human fetuses (27). With more detailed research, these agents are likely to emerge as candidate antioxidants to protect preterm human infants against oxidative damage.

ROS are critical secondary messengers in various cell signaling pathways that control normal cellular function. In addition, even though antioxidant supplementation appears logical in bolstering defense mechanisms against oxidative damage in preterm neonates, several animal studies and clinical trials in neonates have yielded varied results. Therefore, caution needs to be exercised in therapeutic approaches involving agents promoting ROS depletion (117).

In summary, our knowledge about the mechanisms regulating normal lung growth has increased over recent years and with it, the realization of its complexity. The outgrowth of

secondary crests, the anatomical substratum of alveolar development, in particular remains a mystery. In contrast to the large amount of information on early lung branching morphogenesis, much less is known about the mechanisms that regulate septation. Much of our understanding about the genetic control of the dichotomous division of the conducting airways in mammals derives from studies of the respiratory system in *Drosophila* (169). Conversely, the mechanisms that regulate alveolar development remain poorly understood, mainly because of the lack of alveoli in Drosophila. Furthermore, the conducting airways grow into the surrounding mesenchyme, whereas alveolar septa evaginate inward into the air space (206). What determines septal outgrowth and what is the process' driving force? How do distal lung cells (i.e., alveolar epithelial cells, endothelial cells, and myofibroblasts) interact during septal outgrowth? Much less is known about how these mechanisms are perturbed during BPD and how to use this knowledge to develop new therapeutic strategies to prevent lung injury, not only in the newborn.

Recent insight into stem cell biology has offered new hope to repair damaged organs. Over the past decade, lung biologists have capitalized on these new insights to better characterize resident lung stem/progenitor cells, understand their role during lung development and disease, and test the lung repair capabilities of a variety of exogenously administered stem cells in experimental lung disease (4, 226). More recently, the therapeutic potential for stem/progenitor cells for BPD (19, 20, 39) has been demonstrated in hyperoxia-induced lung injury in neonatal rodents. The following sections introduce basic concepts of stem cell biology and discuss the recent advances and challenges of stem cell-based therapies for lung diseases, with a particular emphasis on BPD management.

III. Stem Cells: Concepts and Applications

Stem cells are primitive cells capable of extensive selfrenewal and have the potential to give rise to multiple differentiated cellular phenotypes (36). They are not only critical for organogenesis and growth during early stages of development, but also contribute to organ repair and regeneration throughout life.

A. Developmental potency of stem cells

Fundamental to understanding the function and therapeutic potential of different types of stem cells is the concept of developmental potency, which refers to the range of possible fates open to cells during differentiation. Stem cells exhibit varying differentiation potencies and are typically categorized into embryonic and adult (or somatic) stem cells (ASC). Even though fertilized eggs are *toti*potent (differentiate into all cell types that constitute the entire organism), they do not selfrenew by simple cell division. This makes embryonic stem cells (ESC), derived from the early blastocyst, the most potent of stem cells. These cells are pluripotent (differentiate into cell types derived from all three germinal lineages of the developing embryo-endoderm, mesoderm, and ectoderm) and capable of indefinite self-renewal. In contrast, ASC are cells that have assumed increasing degrees of fate restriction as the embryo develops and are either multipotent (differentiate into a limited range of cell types) or unipotent (generate only one cell type) (223). Residual pools of such multi- or unipotent stem cells are hypothesized to reside in almost all adult organs, contributing to their ability to repair and regenerate after injury.

B. Classical versus nonclassical stem cell hierarchies

While stem cells are held critical for growth and development throughout childhood, residual pools of ASC are considered important for tissue repair and maintenance through adulthood. Highly proliferative tissues such as the intestinal epithelium or the hematopoietic compartment of the bone marrow depend on a pool of ASC that are organized in a classical hierarchy, to maintain homeostasis (225) (Fig. 4). In marked contrast, anatomically complex tissues that turn over more slowly (brain, heart, lung, and kidney) do not appear to support a classical stem cell hierarchy. Such tissues are believed to be maintained by stem/progenitor cell populations that are organized in a nonclassical hierarchy and recruited facultatively for regeneration after injury. For example, in the liver, mature hepatocytes are responsible for postresection tissue reconstitution. However, under certain conditions, cells from a facultative pool of ASC (termed "oval" cells), are also called into action (265). Very similarly, in the lung, several local epithelial cell types can function both as differentiated functional cells and as transit-amplifying progenitors that proliferate in response to airway or alveolar injuries (198). Nevertheless, recent research suggests that the adult lung also harbors rare populations of multipotent epithelial stem cells that are regulated by specific microenvironmental cellular niches and are putatively recruited to repopulate the damaged epithelium (90, 121, 126, 165, 199).

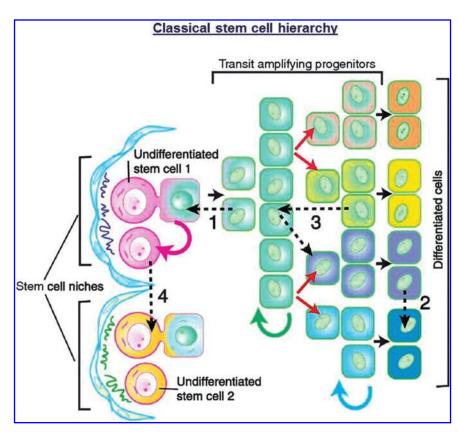
C. Regulation of stem cell function

There are a lot of unanswered questions about the role and regulation of stem cells in tissue formation, organogenesis, and tissue repair after injury. However, it is becoming more and more evident that the capacity to behave as a stem cell (stemness) is, to a significant extent, a functional state rather than an intrinsic cellular characteristic. The decisions about cell fate and maintenance of stem cell populations are controlled by a multitude of variables that include genetic, epigenetic, and cellular microenvironmental factors (36, 256). One classical example highlighting the importance of these factors in stem cell fate determination is the ability of ASC residing in the bone marrow to cross lineage barriers and adopt the phenotype of target organs such as liver, lung, gastrointestinal tract, and skin epithelia (134). Another example is the engineering of pluripotency into somatic cells by ectopic expression of transcription factors linked to pluripotency (228). The resulting induced pluripotent stem cells (iPSC) are functional equivalents of ESC and represent a transformative milestone in our understanding of stem cell behavior and function.

D. Concept of stem cell replacement

One reason for studying stem cells is their usefulness in cell-replacement therapy. The self-renewal and regenerative capabilities of tissue-resident stem cell populations are naturally limited due to damage that accumulates with advancing age or disease. This may occur *via* simple exhaustion of the residual stem cell pools or as a consequence of genetic or microenvironmental changes that impede proper stem cell

FIG. 4. Classical stem cell hierarchy. Model of the classical hierarchy of undifferentiated epithelial stem cells, TA progenitor cells, and mature postmitotic differentiated cells. Cell fate choices are indicated by red arrows. In this model, the stem cell in its niche and different TA cell subclasses can self-renew (curved arrows). Stem cells self-renew infrequently and TA cells more rapidly. Early TA cells may be able to replace stem cells if the niche is depleted (dashed arrow 1). The niche probably consists of several cell types and associated molecules, including blood vessels and nerves. Transdifferentiation of one well-defined differentiated cell type into another could occur directly, without cell division (dashed arrow 2) or might also involve reversion or de-differentiation between distinct TA progenitor populations (dashed arrows 3). Rarely, stem cells switch from one tissuespecific lineage to another (dashed arrow 4) in a process called metaplasia or transdetermination. Adapted with permission from Rawlins and Hogan (198). TA, transit amplifying. (To see this illustration in color the reader is referred to the web version of this article at www .liebertonline.com/ars).



function. These changes can potentially be reversed *via* stimulation of the endogenous stem cell pools or their therapeutic replacement with stem cells derived from exogenous sources. Such cell-replacement therapies exist already for hematological disorders using bone marrow-derived stem cells (87) and similar approaches have started showing promise in other debilitating childhood and adult disorders (103, 108, 247).

Another potential approach in cell therapy is to administer functionally mature cells of required tissue specificity, generated by targeted differentiation of ESC. However, isolation of human ESC for research or therapeutic applications is entangled in biological and ethical constraints. iPSC offer an ethically sound alternative to model specific diseases *in vitro* as well as for autologous cell therapy.

In addition to their use in tissue replacement, certain stem cell types may have utility as adjuvant therapies by virtue of their increasingly recognized trophic and immunomodulatory effects (83, 139, 239). Evidence shows that stem cells could also find application as delivery vehicles for targeted gene therapy (172).

Along these lines, the quest for a deeper understanding of lung stem/progenitor cell biology and its therapeutic translation has encountered several advances and challenges in the recent years. These will be discussed below with emphasis on the role of stem cells in lung alveolar growth and maintenance.

IV. Lung Stem Cells

Lungs are complex organs constituted by 40 or more cell types derived from all three germ layers (125). Normal lung morphogenesis involves spatiotemporally coordinated interactions between the stem/progenitor cells of different cellular compartments, which are later recapitulated during lung regeneration and repair after injury (216).

A. Lung epithelial stem/progenitor cells

The epithelial lining of the lung, generated almost exclusively from the foregut endodermal cells, is crucial in maintaining organ function and homeostasis (93). This cellular compartment is subdivided into at least four distinct anatomical zones along the proximodistal axis (Table 2) with each characterized by unique cellular organization and repair mechanisms (33). Organizational complexity coupled with prolonged turnover times of the adult lung epithelial cells has hindered the identification of true lung epithelial stem cells. However, it has been observed that relatively differentiated airway and alveolar epithelial cell types are capable of proliferating in response to epithelial injury (198). This observation drew the focus of lung stem cell research into identifying and defining those epithelial cell subpopulations that appear to contribute to postinjury regeneration. Basal cells (106, 203), clara cells (225), bronchoalveolar stem cells (126), and AT2 cells (45) have all been shown to exhibit stem cell properties. A representative list of lung cell populations with putative progenitor characteristics is summarized in Table 2. However, not all meet the criteria of clonogenicity, multipotentiality, and self-renewal or are not truly undifferentiated to qualify as stem cells.

1. Progenitor cells of the alveolar epithelium. Cuboidal AT2 pneumocytes have long been considered as the progenitors of the alveolar epithelium based on their capacity to

Table 2. A Representative (Not Exhaustive) List of Candidate Endogenous Lung Progenitor Cells Described in Human and Rodent Lungs

			DESCRIBED IN TICIMEN AND INCLEME ECINGS	D NODENI LUNGS		
Species Candidate progenitor cell	Candidate progenitor cell		Attributed phenotype	Niche	Defining characteristics	Ref.
Mouse Unknown cell type Trac		Trac	Tracheal epithelial cells	Submucosal glands	BrdU labeling-retained cells after i.t. detergent or SO ₂ -mediated enithelial injury	(40)
Mouse Basal cells Trache epit		Trache epitl	Tracheo bronchial epithelial cells	Intercartilaginous zones	Cytokeratin 14-expressing multipotent progenitor cells capable of restoring differentiated tracheal epithelium after naphthalene injury; associated with innervated NEBs	(107)
Mouse Variant Clara cells Distal		Distal	Distal airway epithelium	NEBs	Express CCSP; survive and repopulate distal airway epithelium after naphthalene injury; divide infrequently during steady state	(106)
Mouse Bronchioalveolar stem cells Bronc epit		Bronc epit	Bronchioalveolar epithelial cells	Bronchioalveolar duct junction	Resistant to naphthalene injury and proliferate in response; coexpress CCSP and SP-C	
Mouse Pulmonary Oct-4* stem/ Alveo progenitor cells pne		Alveo	Alveolar type-I and -II pneumocytes	Bronchioalveolar duct junction	Oct-4 ⁺ , SSEA-1 ⁺ , Sca-1 ⁺ , cytokeratin-7 ⁺ cells; serially passaged, differentiate terminally into type-II and -I pneumocytes; susceptible to SARS-CoV infection	
Mouse Multipotent lung epithelial Airwa progenitors epitl		Airwa	Airway and alveolar epithelium	Intrapulmonary airways and alveoli (not localized)	EpCAMhi, CD49f ⁺ , CD104 ⁺ , CD24lo, Sca-1 ⁻ , CD45 ⁻ , CD31 ⁻ lung epithelial CFUs, form colonies in Matrigel, serially passaged and retain multipotent potential	
Human Self-renewing, multipotent, Airwa clonogenic cells epit end	A	Airwa epitl endo	Airway and alveolar epithelium and vascular endothelium	Distal airways	C-kit + population	
es Al	es Al	Alveol pne	Alveolar type-I pneumocytes	Alveolar surface	All alveolar type-II pneumocytes	
Rat A subset of alveolar type-II Alveol pneumocytes type		Alveol type	Alveolar type-I and mature type-II pneumocytes	Alveolar surface	E-cadherin negative subset of alveolar type-II cells, proliferative, high telomerase activity, resistant to O ₂ -induced injury	(200)

BrdU, 5-bromo-2-deoxyuridine; CCSP, Clara cell secretory protein; CFU, colony-forming unit; NEB, neuroendocrine bodies; SARS-Co V, severe acute respiratory syndrome coronavirus; SP-C, surfactant protein C; SSEA-1: stage specific embryonic antigen-1.

replenish themselves and to generate terminally differentiated AT1 cells pneumocytes (2, 45). Since then, AT2 cells are speculated to contain a subpopulation of progenitors or cells that can undergo reactivation into a progenitor-like state in response to injury. Using an acute model of O₂-induced injury, Driscoll *et al.* demonstrate the existence of a telomerase-positive subpopulation within the general AT2 cell population, during the recovery phase (77). These cells can be further characterized based on E-cadherin-positive and -negative fractions with heightened telomerase activity and injury resistance in the latter subset (200).

More recent research suggests the existence of multipotent stem cells in the distal lung that are capable of differentiating into epithelial cells specific to the airway and the alveoli. Kim et al. demonstrate the existence of dual-lineage bronchioalveolar stem cells at the airway-alveolar junction that express both airway (CC10) and alveolar (surfactant protein C [SP-C]) markers (126) and proliferate in response to airway and alveolar injury (126, 177). However, based on the employed techniques, there has been some ambiguity about the lineage potential (165, 219) and contribution of these cells to alveolar repair (197). Another population of Oct-4⁺ stem/ progenitor cells has been described by Ling et al. to specifically exist at the bronchoalveolar junction. These cells coexpress other stem cell markers such as SSEA-1, Sca-1, and cytokeratin 7 and do not express c-kit, CD34 or p63. They require mesenchymal stromal support for growth and maintenance and have the potential to differentiate into AT1 and AT2 cells. Interestingly, this Oct-4⁺ cell subpopulation is particularly susceptible to SARS-coronavirus infection resulting in the loss of lung repair capability (151).

McQualter et al. applied techniques used for many years in the hematopoietic stem cell field to isolate a population of selfrenewing EpCAM^{hi}CD49f^{pos}CD104^{pos}CD24^{low} lung epithelial colony-forming units (CFUs). These epithelial CFUs form colonies when cocultured with EpCAM^{neg} Sca-1^{pos} lung mesenchymal stem cells (MSC), showing that the epithelialmesenchymal interaction is essential for the formation of colonies by the epithelial colony forming units. In addition, epithelial growth factors, HGF and FGF-10, support the generation of epithelial colonies and could replace the mesenchymal support (165). More recently, a novel population of c-kit-positive, self-renewing, multipotent, clonogenic cells in the distal airways of the human lung has been identified (121). These undifferentiated human lung cells form bronchioles, alveoli, and pulmonary vessels in the cryoinjured lung. This study, however, does not demonstrate the tissue regenerative ability of these c-kit-positive stem cells in a lung disease model.

B. Lung mesenchymal progenitors

Additional lung cell types, including airway smooth muscle, fibroblasts, and the vasculature, are derived from the mesoderm. Interaction between the epithelial cells, mesenchymal microenvironment (including ECM proteins and growth factors), and the adjacent pulmonary vasculature regulates the structural and functional maturation of the developing lung (216). Our knowledge on lung mesenchymal precursors is very limited. Evidence, however, indicates the existence of small populations of resident lung cells expressing certain phenotypic characteristics of mesenchymal cells

with progenitor capacity. For example, resident lung side population (SP) cells, which appear to have both mesenchymal and epithelial potential, have been isolated based on their capacity to efflux the Hoescht dye (156, 201, 227). Another population of Sca-1⁺, CD31⁺ endogenous clonogenic progenitors has been described in the adult murine lung (164). These cells do not express CD31 and CD45 and are suggested to be fibroblast precursors harbored in the lung mesenchyme. The precise role of these endogenous mesenchymal progenitors in lung repair, however, is not fully understood.

After the discovery of the plasticity characteristics of ASC that allow them to cross lineage barriers and adopt functional phenotypes of other tissues, a lot of interest has been diverted to understanding their role in repair and maintenance of the lungs (104). Experimental evidence indicates that the injured lung stimulates the release and preferential homing of MSC, a population of ASC derived from the bone marrow (147, 204). The mechanism by which exogenous progenitors such as bone marrow MSC assume lung phenotype and its clinical significance, however, remains unclear (175). In addition, by virtue of their ubiquitous presence and importance in organogenesis and tissue repair, EPCs, a population of circulating and resident vascular precursor cells, have also recently received widespread attention in the context of lung development and regeneration (132). Interesting findings indicating the therapeutic potential of these mesenchymal progenitor populations, and the need for further investigations in elucidating more precisely their role in lung regeneration, are highlighted in the next sections.

The recent surge in our knowledge of stem cell biology and the availability of advanced research tools in this field has motivated researchers into exploring the role of lung stem cells in the pathogenesis of chronic lung diseases that lack effective therapies. Indeed, several major lung diseases likely involve dysregulation in the numbers and/or the function of resident lung stem/progenitor cells (175). For instance, depletion or functional impairment of alveolar epithelial and/or endothelial progenitor cells could putatively underlie the pathogenesis of alveolar growth arrest or destruction observed in BPD and emphysema, respectively. In such a scenario, augmentation of stem cells is an appealing strategy to minimize lung injury, promote repair, or possibly regenerate lost tissue. However, the precise identity and role of the various lung stem/progenitor cell populations should be established before realizing this therapeutic objective.

V. Therapeutic Potential of Stem Cells to Prevent/Repair Lung Damage

Numerous preclinical studies have provided compelling evidence for the beneficial effects of cell therapy using exogenous stem cells for a large variety of lung diseases (Table 3). Animal models mimicking major lung diseases such as acute lung injury (ALI)/acute respiratory distress syndrome, idiopathic pulmonary fibrosis, chronic obstructive pulmonary disease (COPD), cystic fibrosis, asthma, pulmonary hypertension, and BPD have been employed in these studies (4, 33, 226).

A. Mesenchymal stem cells

MSC were originally described by Friedenstein *et al.* as fibroblastoid cells that could be flushed out from the adult bone

Table 3. Studies Testing the Therapeutic Effect of Stem Cells in Various Experimental Lung Disease Models, Classified by the Stem/Progenitor Cell Type and Disease Model

Disease or experimental model	Therapeutic cell or product	Therapeutic outcomes	Suggested mechanisms	Ref.
Embryonic stem cells Bleomycin (i.t.)- induced ALI	Human ESC-derived cells with AT2 epithelial phenotype (i.t.)	Improved body weight and survival Improved arterial O ₂ saturation Decreased collagen deposition	Structural engraftment & AT1 differentiation Paracrine-mediated mechanisms	(255)
Adult stem cells				
Exogenous stem or p Bleomycin (i.t.)- induced LI/ fibrosis	Bone marrow-derived MSC	Reduced fibrosis Reduced inflammation	IL-1 receptor antagonism Decrease of NO metabolites, proinflammatory & angiogenic cytokines	(136, 180, 204)
	Wharton's jelly-derived MSC	Reduced fibrosis	Decreased TGF- β and TIMP activity	(170)
	Bone marrow-derived HSC with or without KGF overexpression (i.v.)	Reduced fibrosis	Increased MMP-2 activity KGF-induced endogenous AT2 cell proliferation	(5)
Asbestos-induced LI/fibrosis	Allogenic whole bone marrow (i.v.)	Abrogation of inflammation and fibrosis		(145)
Escherichia coli endotoxin (i.p.)- induced systemic inflammation and LI	Bone marrow-derived MSC (i.v. or i.t.)	Decreased systemic and local inflammation Improved survival	Cell-cell interactions Paracrine mechanisms Decreased proinflammatory and increased antiinflammatory cytokines Antioxidant mechanisms	(260) (94) (112)
E. coli pneumonia	Bone marrow-derived MSC (i.t.) and MSC CdM	Reduced bacterial in the lung homogenates and in the bronchoalveolar lavage fluid	Secretion of human cathelicidin hCAP-18/ LL-37	(133)
E. coli endotoxin (i.t.)-induced LI	Bone marrow-derived MSC overexpressing Ang-1 (i.v. or i.t.)	Decreased inflammation Decreased alveolar	Decreasing inflammatory cytokines Ang-1-mediated effects	(166) (259)
	Alig-1 (i.v. of i.t.)	permeability	Ang-1-mediated effects	(239)
Burn injury- mediated ALI	Bone marrow-derived MSC (i.m.)	Decreased inflammation and apoptosis	Decreased activation of epithelial NF-kB	(261, 262)
CLP-induced sepsis and ALI	Bone marrow-derived MSC (i.v.)	Control of sepsis Improved survival	Prostaglandin E2-mediated macrophage IL-10 secretion	(174)
Hyperoxia-induced lung injury	Bone marrow-derived MSC (i.t.)	Prevention of alveolar and vascular growth arrest	Paracrine mechanisms Immunomodulatory factors	(246) (15)
	hUCB-derived MSC (i.t.)	Decreased inflammation Improved alveolarization	Epithelial differentiation Decreased pro-inflammatory and fibrotic cytokines	(55)
Lung-specific Fas ligand overexpression	hUCB-derived CD34 ⁺ hematopoietic progenitor cells (i.n.)	Pulmonary epithelial reconstitution	Long-term engraftment, functional differentiation, replication, and clonal expansion; no cell fusion observed	(72)
Papain-mediated emphysema	Bone marrow-derived MSC (i.v.)	Emphysema attenuation	Engraftment and AT2 differentiation of MSC Decreased alveolar epithelial apoptosis	(268)

Table 3. (Continued)

Disease or experimental model	Therapeutic cell or product	Therapeutic outcomes	Suggested mechanisms	Ref.
Elastase-induced emphysema	Bone marrow-derived MSC (i.t.)	Reduced alveolar destruction	Paracrine effects: HGF, EGF, and secretory leukocyte protease inhibitor secretion	(123)
PPE-induced emphysema	Adipose tissue-derived MSC (i.v. or cultured on PGA scaffolds and transplanted after LVRS)	Gas exchange and exercise tolerance restored	Growth factor release (HGF, VEGF)	(217, 218)
Ragweed-mediated asthma	Bone marrow-derived MSC (i.v.)	Decreased asthma- specific allergic response	TGF-β production Regulatory T-cell recruitment	(173)
CFTR-KO mice with airway injury	Bone marrow-derived MSC (i.v.)	Detection of lung CFTR expression and activity	Cell engraftment and induction of CFTR expression	(154)
Monocrotaline- mediated PHT	Bone marrow-derived MSC with or without eNOS overexpression (i.v. or i.t.)	Improved RV pressure overload and function Improved lung structure	ENOS-mediated vasodilatation VEGF-mediated enhanced microvasculature	(18, 122, 196, 244)
	Bone marrow-derived EPC (i.v.)	Improved survival Restored pulmonary hemodynamics	Paracrine effects eNOS-controlled vascular growth	(267)
	Peripheral blood- derived EPC (i.t.)	Increased microvascular perfusion Improved cardiac function		(229)
		Improvement in small vessel medial thickness and lung neovascularization		
Ovalbumin- mediated asthma/ allergic airway	Adipose tissue-derived MSC (i.v.)	Decreased local and systemic allergic response	Decreased Th2 activity	(57) (183)
inflammation	Bone marrow-derived MSC CdM	Reduced airway hyper- responsiveness and remodeling	Paracrine mechanisms	(109)
Endogenous stem or j	progenitor cells	0		
Elastase-induced emphysema	Lung-resident multilineage progenitors Sca1 ⁺ CD45 ⁻ CD31 ⁻ (i.t.)	Attenuated elastase- induced alveolar damage Longer survival	Immunomodulation <i>via</i> paracrine mechanisms	(101)

Interestingly, most of the beneficial effects of stem cell therapy are, at least in part, accounted for by stem cell-derived paracrine mediators. Ang-1, angiopoietin-1; ALI, acute lung injury; AT1, alveolar type 1; AT2, alveolar type 2; CdM, conditioned media; CFTR-KO, cystic fibrosis transmembrane regulator-knock out; CLP, cecal ligation and puncture; EGF, epidermal growth factor; eNOS, endothelial nitric oxide synthase; ESC, embryonic stem cell; EPC, endothelial progenitor cell; HGF, hepatocyte growth factor; HSC, hematopoietic stem cell; hUCB, human umbilical cord blood; i.t., intratracheal; i.p., intraperitoneal; i.v., intravenous; i.n., intranasal; IL, interleukin; KGF, keratinocyte growth factor; LI, lung injury; LVRS, lung volume reduction surgery; MMP, matrix metalloproteinases; MSC, mesenchymal stem cell; PHT, pulmonary hypertension; PPE, porcine pancreatic elastase; RV, right ventricle; Th2, helper T-cell type 2; TIMP, tissue inhibitor of metalloproteinases; VEGF, vascular endothelial growth factor.

marrow, form colonies on plastic and, when transplanted subcutaneously with appropriate carriers, could make bone and reconstitute a hematopoietic microenvironment (85). Ever since their discovery in 1970, they have by far been the most extensively studied adult stem cell type. Their remarkable differentiation plasticity, extraordinary immunomodulatory properties, as well as their ability to be recruited to sites of injury (210) have made them the most widely investigated stem cells in preclinical therapeutic studies.

In rodent models of ALI induced by *Escherichia coli* endotoxin administration, bleomycin, O_2 exposure, burn injury, or via cecal ligation and puncture-induced sepsis, MSC administration improved survival and lung inflammation (15, 55, 94, 112, 166, 174, 180, 204, 246, 259–262). In other models of alveolar damage such as papain or elastase-induced emphysema, MSC therapy attenuated alveolar destruction, improved gas exchange, and restored exercise capacity (123, 268).

In ovalbumin (57, 183) or ragweed-mediated murine asthma models (173), MSC therapy alleviates allergic reactions and airway remodeling *via* mechanisms involving decreased helper T-cell recruitment and activity. MSC restore arrested lung vascular growth and improve pulmonary hemodynamics in experimental models of lung vascular injury and pulmonary hypertension (18, 122, 196, 244). MSC have also been found to improve bleomycin (5, 136, 180, 204) and asbestos-induced fibrosis in mice (145). On the contrary, circulating fibrocytes, also originating from bone marrow stem cells, traffic into the lungs aggravating fibrosis (171, 186).

B. Endothelial progenitor cells

Clinical evidence indicates a reduction of circulating EPC in patients with chronic lung disease subject to prolonged hypoxia (80). This, in addition to observations correlating increased circulating EPC with improved outcomes in lung injury (49, 263), suggests EPC supplementation as a potential strategy to treat diseases involving lung damage. Several studies in newborn and adult experimental lung injury models have shown enhanced repair and improvement with therapeutic approaches such as mobilization of endogenous EPC from the bone marrow (111) or supplementation with exogenous EPC (21). EPCs derived from the bone marrow or peripheral blood attenuated pulmonary hypertension and improved microvascular circulation in monocrotalineinduced pulmonary hypertension (229, 267). The putative mechanisms suggested in these studies include eNOScontrolled vasodilatation and VEGF signaling induced vascular growth.

C. Embryonic stem cells

In addition to using ASC for cell therapy, targeted differentiation of ESC into alveolar epithelial progenitors could provide a novel approach to regenerate endogenous lung cells destroyed by injury and disease. There is at least one study demonstrating attenuated fibrosis, improved lung function, and survival after administration of ESC-derived AT2 cells, in a model of bleomycin-induced ALI (255). Research with ESC and more so its clinical translation are encumbered in ethical controversy, concerns of teratoma formation, and risks of immuno-incompatibility (4, 65). iPSC, on the other hand, are unique pluripotent cells of potential autologous origin that bypass ethical hurdles, and offer the promise of plausible

translation into the clinic, although a recent report suggest some immunogenicity of iPSC (266). Judging by the interest channeled into these cells since their discovery, it would be interesting to know how effective can they be in treating lung disorders or if their role will be confined to understanding disease processes and drug testing.

D. Endogenous lung progenitors

While the therapeutic benefit of exogenous stem cells for lung diseases has been widely explored, few studies have sought to tap into the therapeutic potential of endogenous lung progenitors, in part due to our incomplete understanding of these cells (30) and the relative difficulty of obtaining those cells compared to other sources, such as bone marrow or cord blood for example. Hegab et al. have isolated a population of Sca-1⁺CD45⁻CD31⁻ endogenous lung multilineage progenitors capable of self-renewal and differentiation into pulmonary epithelial cells. These cells could attenuate alveolar damage and improve survival in a mouse model of elastase-induced emphysema (101). However, the authors suggest that their therapeutic benefit is primarily paracrine mediated. More stringent characterization of this cell population is, however, required before further therapeutic assessments.

E. Paracrine effect of stem cells

It is noteworthy that most of the beneficial effects of stem cell therapy are suggested to be due to cell-derived paracrine factors, rather than cell engraftment. In fact, the observed lung engraftment of stem cells is not more than 5% in the majority of studies highlighted in Table 3. Paracrine mechanisms of action have so far been widely studied in MSC. These pleiotropic cells dampen inflammation via decreasing proinflammatory mediators and active secretion of anti-inflammatory cytokines and promote tissue repair by the release of several growth factors. The identified paracrine factors that mediate the beneficial effect of MSC include interleukin (IL)-10, IL-1ra, KGF, prostaglandin E2, Tumour necrosis factor-α stimulated gene/protein 6, and stanniocalcin-1 (88, 99, 160). More recently, an interesting study reports that human bone marrow-derived MSC possess direct antimicrobial activity, which is mediated in part by the synthesis and release of antimicrobial peptide cathelicidin (133). Identification of these paracrine factors may lead to new therapies.

To date, a majority of the preclinical therapeutic studies have examined the potential of exogenous stem/progenitor cells to enhance lung repair or regeneration in adult lung diseases. Much less is known about their repair potential in BPD.

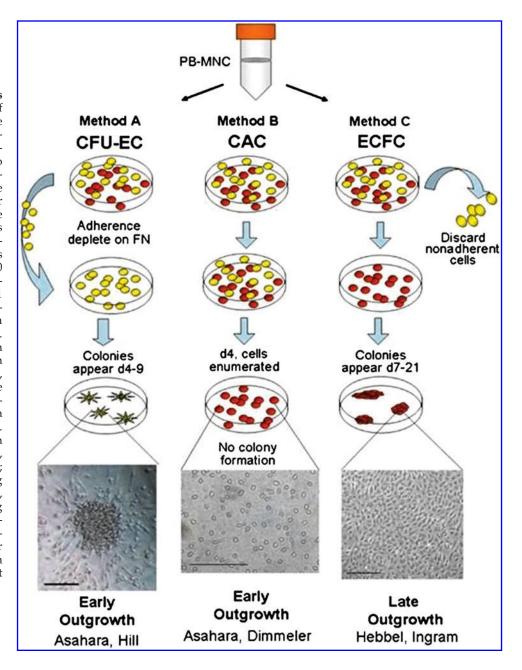
VI. BPD and Stem Cells

As described earlier, lung injury in BPD is complex involving epithelial surfaces, the lung matrix, and the microvasculature. Damage or depletion of epithelial and/or vascular progenitors in the developing lung is a likely contributor to its pathogenesis.

A. Fate of stem/progenitor cells in BPD

O₂ challenge is one of the most extensively studied injury mechanisms in BPD. Animal and human observations in

FIG. 5. Common methods of EPC culture. Culture of CFU-EC (Method A, scale $bar = 100 \mu m$) includes a 5day process wherein nonadherent MNCs give rise to the EPC colony. CAC (Method B, scale bar = 200 μ m) are the adherent mononuclear cells of a 4- to 7-day culture procedure. CAC cultures typically do not display colformation. **ECFCs** onv (Method C, scale bar=400 μm) are derived from adherent MNCs cultured for 7-21 days in endothelial conditions and colonies display a cobblestone morphology. ECFC emerge much later in culture as compared to both CFU-EC and CAC. Hence, ECFC have been called late outgrowth EPCs, while CFU-EC and CAC have been called early outgrowth EPC. Adapted with permission from Prater et al. (191). CAC, circulating angiogenic cells; colony-forming CFU-EC, unit-endothelial cells; ECFC, endothelial colony-forming cell; EPCs, endothelial progenitor cells. (To see this illustration in color the reader is referred to the web version of this article at www.liebert online.com/ars).



recent years offer interesting clues about the behavior of vascular progenitor cells during oxidative stress. Baker et al. observed that cord blood of preterm infants yields higher numbers of endothelial colony-forming cells [ECFC; circulating progenitors of mature endothelial cells (191); defined in Figs. 5 and 6], compared to term infants (19). However, cells from the former group are relatively more sensitive to O2 in vitro. Borghesi et al., on the other hand, report that ECFC are lower in numbers in the cord blood of preterm infants who subsequently developed BPD (39). Interestingly, earlier reports have shown depletion of putative lung-resident EPC in experimental models of O2-induced BPD. One study demonstrates decreased numbers of CD45⁻/Sca-1⁺/CD133⁺/ VEGFR-2⁺ EPC in the peripheral blood, bone marrow, and lungs of O2-challenged neonatal mice (20). Using the same animal model, another study shows a decrease in the number and endothelial differentiation potential of Hoechst33342 dye-effluxing multipotent lung SP cells (110). We have identified the presence of resident lung microvascular ECFCs in the developing rat lung; lung ECFCs isolated after 14 days of hyperoxia show compromised proliferative, clonogenic, and *in vitro* vessel-forming potential (8). These observations suggest an O₂-induced depletion of vessel-forming progenitors, and this could be a possible mechanism underlying arrested lung vascular growth in BPD. Sparing the endothelial progenitors, our knowledge on the fate of other stem cell populations within the BPD lung is still rudimentary and more extensive research is of critical importance.

For instance, the cells with myofibroblast phenotype crucial for alveolar septation and growth during normal lung development, under misguided signaling, could contribute to profibrotic changes and arrested alveolarization in BPD (189).

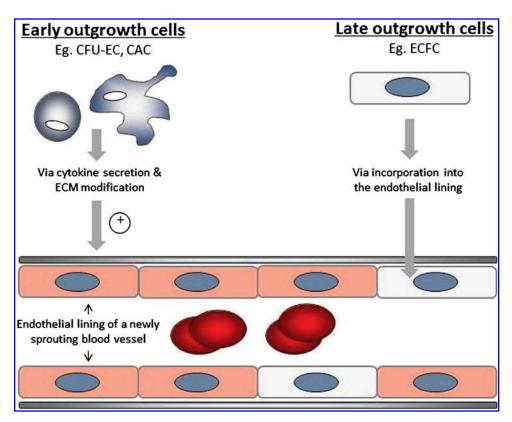


FIG. 6. Early and late outgrowth EPC in blood vessel formation. This figure depicts that ECFC (late-outgrowth EPC) get incorporated into and generate the endothelial lining of newly sprouting blood vessels. The circulating early-outgrowth EPC support new vessel formation via cytokine secretion and ECM modification. (To see this illustration in color the reader is referred to the web version of this article at www.liebert online.com/ars).

In another recent study, Popova *et al.* provide interesting data connecting the presence of MSC in the tracheal aspirates of preterm infants and their propensity to develop BPD (188). With the growing interest to harness the therapeutic effects of MSC, these observations instruct caution and warrant closer investigation, since these cells could be forced into various phenotypes based on the intercellular microenvironmental cues they are exposed to (118, 187).

B. Cell therapy approaches for BPD

Lung damage in BPD could potentially involve a deficiency in the number and function of progenitors contributing to the growth and maintenance of the alveolar epithelial surfaces, lung matrix, and pulmonary microvasculature. This constitutes the rationale to use exogenous stem cells to replace the injured cells with multipotent stem cells that repopulate and grow the BPD lung. With this objective, several recent reports have started demonstrating therapeutic benefits with different stem cell types on rodent models of O_2 -induced lung injury (Fig. 7).

1. Mesenchymal stem cells. Intravenous and airway delivery of bone marrow-derived MSC mitigates inflammation, prevents lung vascular and alveolar damage, and improves exercise tolerance and survival, in experimental O₂-induced BPD in newborn rodents (15, 246) (Fig. 8). Interestingly, both studies report that conditioned media from the MSC is as protective as the cells themselves since the observed therapeutic benefit is not in proportion to the degree of MSC engraftment.

MSC can be obtained from the bone marrow or from other sources such as umbilical cord blood, adipose tissue, Whar-

ton's jelly, and the placenta (143). Among these, umbilical cord blood has started to receive a lot of attention in the recent years as a source of MSC and several other stem cell types for therapeutic use. It is a clinically relevant, viable, and readily available source of stem cells especially from the perspective of treating neonatal diseases. Chang et al. demonstrate that MSC from human cord blood prevent alveolar growth arrest and alleviate fibrotic changes in the lungs of O2-challenged neonatal rats (55). This study also shows that intratracheal MSC transplantation is more effective than intraperitoneal transplantation in attenuating the hyperoxia-induced lung injury. In another interesting study, De Paepe et al. induced lung injury by conditional overexpression of lung-specific Fas ligand in transgenic newborn mice and treated them with an intranasal inoculation of UCB-derived CD34⁺ hematopoietic progenitor cells. They report long-term pulmonary engraftment, replication, and clonal expansion of the injected CD34⁺ cells followed by reconstitution of the injured respiratory epithelium by fusion-independent mechanisms (72). A prior report by the same group showed no epithelial reconstitution or therapeutic improvement with intranasal administration of unfractionated bone marrow-derived single-cell suspensions to newborn mice challenged with hyperoxia (86), while control mice exhibited impaired lung growth and alveolar remodeling.

2. Human amniotic fluid stem cells. Warburton and colleagues administered multipotent stem cells, derived from human amniotic fluid via c-kit selection, to adult nude mice injured with excessive O_2 . They report that Human amniotic fluid stem cell (hAFSC) preferentially home and integrate into the injured lungs and a fraction of them show markers of epithelial and AT2 differentiation (52). A following study by

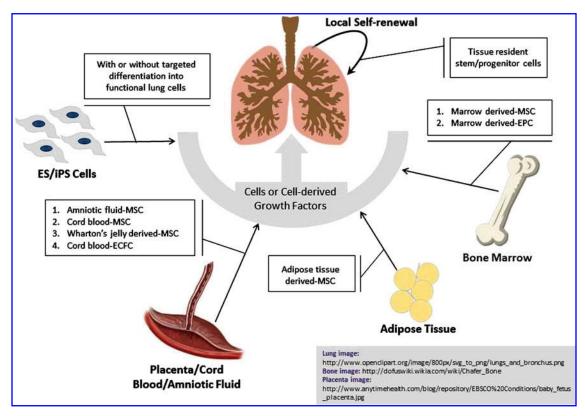


FIG. 7. Therapeutic opportunities with stem cells for lung regeneration. Several preclinical studies demonstrate evidence of the potential of stem cells (and stem cell-derived growth factors) to promote lung regeneration. (To see this illustration in color the reader is referred to the web version of this article at www.liebertonline.com/ars).

the same group reports that the microenvironment created by the damaged AT2 cells underlies the chemotactic recruitment and AT2 differentiation of uncommitted hAFSC (47). More detailed assessment of the mechanism of recruitment and differentiation of these cells is important for their translation into clinical and bioengineering applications.

- 3. Endothelial progenitor cells. The observed depletion of circulating and lung resident EPC in BPD (39) suggests a potential rescue from arrested alveolar and vascular growth after therapeutic supplementation with EPC of exogenous origin. In fact, O₂-exposed newborn mice treated intravenously with bone marrow-derived angiogenic cells (a population of bone marrow derived early outgrowth EPC; Fig. 5) shows almost complete restoration of lung structure that is indistinguishable from room air controls (21). Observations from our lab also show that human cord-blood derived ECFCs restore arrested alveolar growth and alleviate pulmonary hypertension in this newborn mouse model of BPD (8).
- 4. Human amnion epithelial cells. More recently, Vosdoganes showed that human amnion epithelial cells significantly attenuate the fetal pulmonary inflammatory response in an intrauterine LPS-induced model of lung inflammation in fetal sheep (251). Cells were given before birth intravenous, intratracheal, or both. This treatment regimen was associated with improved lung structure and function.

These reports are promising starting points for recovery, *in vitro* expansion, and treatment with stem cells or their conditioned media in infants at risk of BPD. However, more

thorough studies in other animal models of BPD are imperative before human clinical trials should be considered (157).

VII. Summary and Remaining Challenges

Recent insights into stem cell biology have allowed a better understanding of the role of resident and exogenous stem/progenitor cells in lung health and disease with the ultimate hope of offering new therapeutic options for life-threatening and debilitating lung diseases. Improved isolation and characterization techniques have allowed the identification of putative resident lung stem/progenitor cells in animal and in humans. While the characterization of resident stem/progenitor cells in the proximal airways is more advanced, it appears more difficult to identify distal lung progenitor cells with unequivocal stem cell characteristics including multipotency and clonogenicity. Identification of these cells could broaden our understanding about cancer stem cells and open new therapeutic avenues for other lung diseases by promoting the protection and/or the repair potential of these cells.

In parallel, the therapeutic potential of exogenous administration of a variety of stem cells—including ESCs, MSCs, and endothelial progenitor cells of various tissue origins—has been investigated in a variety of experimental lung diseases (Table 3). These promising findings offer multiple new avenues for the treatment of lung diseases. Many gaps remain to be filled before safe clinical translation of their therapeutic potential could be achieved (Table 4).

First, the choice of the appropriate reparative cell type should be considered. Based on the underlying pathophysiology of the lung disease, the appropriate stem cell type—

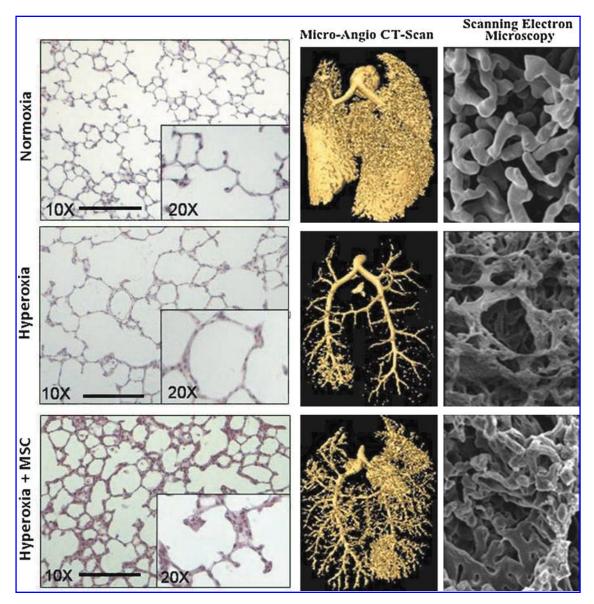


FIG. 8. Therapeutic effect of bone marrow MSC in experimental O₂-induced BPD in newborn rats. Airway delivery of bone marrow MSC prevents O₂-induced arrested alveolar and lung vascular growth in newborn rats as demonstrated by lung histology, micro-CT, and electron microscopy of the pulmonary vasculature. Adapted with permission from Van Haaften *et al.* (246). MSC, mesenchymal stem cell. (To see this illustration in color the reader is referred to the web version of this article at www.liebertonline.com/ars).

among the various stem cells with demonstrated therapeutic potential (Table 3)—should be chosen to derive the desired benefit in the specific target disease of interest.

Second, the appropriate mode of delivery needs to be defined, as most of the current approaches rely on the intrinsic capacity of stem cells to home into the injury sites and respond to the local demands, in contributing to recreation of the compromised tissue architecture and function. In this respect, the lungs offer the advantage of being accessible through direct airway delivery or the intravenous route, given that they receive the total cardiac output.

Third, the appropriate cell-based strategy needs to be determined. The indication that most of the therapeutic benefit of exogenous stem cells seems to relate to a paracrine effect opens additional therapeutic avenues. It suggests that rather than administering the cells, providing the paracrine product

of stem cells may exert the same effect, eventually alleviating some of the concerns attributed to stem cell therapy.

Indeed, one of the major potential adverse effects associated with stem cell-based therapy is the propensity to form tumor or ectopic tissue. Each candidate cell type may harbor its own risk, as exemplified by teratoma formations with ESC implanted *in vivo* (37), putative stromal support for neoplastic cells by MSC and endothelial progenitors (124) and carcinogenic potential of bronchioalveolar stem cells (76, 126). Another concern includes the possibility of immunorejection of transplanted stem cells. This problem is important if using allogenic ESC or ASC, but less important when using stem cells from autologous sources such as bone marrow or cord blood. Likewise, MSCs do not seem to induce immunerejection as suggested by the administration of UCB-derived MSC to immunecompetent rodents and their use in graft *versus*

Table 4. Remaining Challenges in the Use of Stem Cells for Lung Diseases

What is the best reparative cell to treat a given lung disease? ESCs, MSCs, endothelial progenitor cells, AFSCs, amnion epithelial cells?

What is the appropriate strategy for cell-based therapies? Administration of exogenous stem cells: stem cells, stem cell-derived conditioned media, conditioned medium-derived factors?

Protection of endogenous lung progenitor cells?

What is the best mode of delivery of stem cells for lung diseases?

Intravenous

Intratracheal

What are the potential adverse effects of stem cell-based therapies?

Tumour formation?

Extopic tissue formation?

Immune rejection of the transplanted stem cells?

AFSC, amniotic fluid stem cell.

host disease. Moreover, harnessing the paracrine potential of stem cells could be an effective alternative to overcome this limitation (143).

Because of the promising results in the lab, MSC therapy in particular has already seen the light in the clinical setting for a variety of diseases, including myocardial infarct (98), graft versus host disease (148), and Crohn's disease (58). For lung diseases, there has been one clinical trial to date examining the feasibility and safety of allogeneic bone marrow-derived MSCs in patients with COPD (http://clinicaltrials.gov/ct2/ show/NCT00683722). Two clinical trials on safety and efficacy of cord blood-derived MSCs for the treatment/prevention of BPD are currently ongoing (http://clinicaltrials.gov/ ct2/results?term=bpd+and+stem+cells). The hope is that these clinical trials will yield valuable new knowledge that will answer some of the above-mentioned questions and allow fine tuning further experimental studies and clinical trials to translate this very promising therapy timely but safely into improved patient care.

VIII. Conclusion

Nearly 40 years after its original description, BPD still remains a major complication of premature birth. Over these years, BPD has evolved from marked lung fibrosis to a disease characterized by arrested alveolar growth. Despite improvements in our understanding of normal and impaired lung development, the multifactorial pathogenesis of BPD has made it problematic to identify efficacious treatments. Today, most of our efforts are targeted toward optimizing the use of currently available therapies (antenatal steroids and surfactant) and reducing the deleterious effects of other interventions (mechanical ventilation and O₂ therapy) (137, 161, 234, 245). To improve long-term outcomes, future treatment strategies will depend on the successful integration of basic research on fundamental mechanisms of lung development and the response to injury, targeted toward promoting lung growth and lung repair.

Half a century since the land mark discovery of the existence of stem cells by Till and McCulloch in 1961 (237), the therapeutic potential of these cells is being harnessed. On the

basis of the promising studies in various animal models of lung diseases, stem cells may relieve clinicians of the vexing problem that modern clinical management remains largely devoid of therapies promoting lung repair. While the safety and efficacy of MSCs is already being tested in clinical trials, bench research continues to address some of the remaining challenges, such as the characterization of the reparative cells best suited for lung repair and regeneration. Strong emphasis must be placed on developing standardized techniques for stem/progenitor cell definition, isolation, expansion, and therapeutic administration. In addition, a better understanding of the role and regulation of endogenous lung stem cells may provide new therapeutic targets to promote lung growth and repair. Preclinical studies then need to include robust short- and long-term efficacy and safety data to accelerate and enhance the success of clinical trials.

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Abbreviations Used

ALI = acute lung injury

Ang-1 = angiopoietin-1

ASC = adult (or somatic) stem cells

AT1 = alveolar type 1

AT2 = alveolar type 2

BPD = bronchopulmonary dysplasia

BrdU = 5-bromo-2-deoxyuridine

CAC = circulating angiogenic cells

CCSP = Clara cell secretory protein

CdM = conditioned media

CFTR-KO = cystic fibrosis transmembrane regulator-knock out

CFU = colony-forming unit

CLP = cecal ligation and puncture

COPD = chronic obstructive pulmonary disease

ECFC = endothelial colony-forming cell

ECM = extracellular matrix

EGF = epidermal growth factor

EMAP-II = endothelial monocyte activating polypeptide-II

eNOS = endothelial nitric oxide synthase

EPC = endothelial progenitor cell

ESC = embryonic stem cell

FGF = fibroblast growth factor

hAFSC = human amniotic fluid stem cells

HGF = hepatocyte growth factor

HIF = hypoxia inducible factor

HSC = hematopoietic stem cell

hUCB = human umbilical cord blood

i.n. = intranasal

i.p. = intraperitoneal

i.t. = intratracheal

i.v. = intravenous

IGF = insulin-like growth factor

IL = interleukin

 $iPSC = induced\ pluripotent\ stem\ cell$

KGF = keratinocyte growth factor

LI = lung injury

LVRS = lung volume reduction surgery

MMP = matrix metalloproteinases

MSC = mesenchymal stem cell

NEB = neuroendocrine bodies

NO = nitric oxide

 $O_2 = oxygen$

PDGF α = platelet-derived growth factor- α

PHT = pulmonary hypertension

PPE = porcine pancreatic elastase

ROS = reactive oxygen species

RV = right ventricle

SARS-Co V = severe acute respiratory syndrome coronavirus

SP = side population

SP-C = surfactant protein C

SSEA-1 = stage specific embryonic antigen-1

TGF- β = transforming growth factor-beta

Th2 = helper T-cell type 2

TIMP = tissue inhibitor of metalloproteinases

VEGF = vascular endothelial growth factor